

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

Case No.: 1:25-CV-21176-DPG

DAVID JANNETTI, SARAH LYN
JANNETTI, ADAM JANNETTI, and
LEAH JANNETTI,

Petitioners,

v.

STIFEL, NICOLAUS & CO., INC.,

Respondent.

**RESPONDENT'S NOTICE OF SUPPLEMENTAL AUTHORITY IN SUPPORT OF
MOTION TO VACATE ARBITRATION AWARD**

Respondent Stifel, Nicolaus & Co. submits the attached, recent decision by the Director of FINRA Dispute Resolution Services in *Estate of Lovejoy Duryea, et al., v. Stifel, Nicolaus & Co.*, FINRA No. 25-02274, excluding two potential arbitrators, including one of the arbitrators that issued the decision at issue here, for bias and lack of impartiality as supplemental authority in support of Section II.A of its Motion to Vacate (D.E. 27 at 12-20).

In *Duryea*—another arbitration brought by Petitioner's counsel—the Director determined that it is reasonable to infer that two candidate arbitrators “are biased or lack impartiality” because they each earlier awarded “substantial damages and attorneys’ fees” against Stifel in similar arbitrations. In removing them from the candidate list, the Director observed, as here, that those arbitrations “involved the same Respondent, the same financial advisor, the same supervisors, and the same products,” and decided that “[b]ased on the similarities between the current case and the prior cases, it is reasonable to infer that Arbitrators Salis and Gendler *are biased or lack*

impartiality in the current case.” Exhibit A (emphasis added). Notably, Ms. Salis was the chair of the underlying arbitration here.

Respectfully submitted January 9, 2026.

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CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing was e-filed this January 9, 2026, and served to counsel of record for all parties via the Court's CM/ECF system.

/s/ Michael N. Kreitzer
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